

FORM PTO 1366 (REV. 10-2000)		U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER HO-P02246US0
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>07/890363</b> <small>To be assigned</small>
INTERNATIONAL APPLICATION NO. PCT/GB00/00238	INTERNATIONAL FILING DATES 27 January 2000	PRIORITY DATE CLAIMED 27 January 1999 7 October 1999	
TITLE OF INVENTION FORMULATIONS COMPRISING ANTISENSE NUCLEOTIDES TO CONNEXINS			
APPLICANT(S) FOR DO/EO/US David Laurence Becker and Colin Richard Green			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371 (f)).</li> <li>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol>			
Items 11 to 16 below concern document(s) or information included:			
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 &amp; 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information: (a) PCT Request; (b) International Search Report; (c) International Preliminary Examination Report; and (d) Sequence Listing and Diskette</li> </ol>			

17 Rec'd PCT/PTO 27 JUL 2001

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17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC INTERNATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00  <div style="text-align: right; margin-right: 50px;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div> Surcharge of \$ <u>130.00</u> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).  <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 10%;">RATE</th> <th style="width: 20%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>42 - 20 =</td> <td>22</td> <td>x 18.00</td> <td>\$ 396.00</td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td>-0-</td> <td>x -0-</td> <td>\$ -0-</td> </tr> <tr> <td>MULTIPLE DEPENDENT CLAIM(s) (if applicable)</td> <td></td> <td></td> <td>x -0-</td> <td>\$ -0-</td> </tr> <tr> <td colspan="4"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td>\$ 1,386.00</td> </tr> <tr> <td colspan="4"> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  <b>SUBTOTAL =</b> </td> <td>\$ 1,386.00</td> </tr> <tr> <td colspan="4">Processing fee of \$ _____ for furnishing the English translation later than  <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). +</td> <td>\$</td> </tr> <tr> <td colspan="4"><b>TOTAL NATIONAL FEE =</b></td> <td>\$ 1,386.00</td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21 (h)). Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31) (+ \$ _____ per property).</td> <td>\$</td> </tr> <tr> <td colspan="4"><b>TOTAL FEES ENCLOSED =</b></td> <td>\$ 1,386.00</td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right; vertical-align: bottom;"> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;"><b>Amount to be Refunded:</b></td> <td style="width: 20%; text-align: center;">\$</td> </tr> <tr> <td><b>Charged:</b></td> <td style="text-align: center;">\$</td> </tr> </table> </td> </tr> </tbody> </table> <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>06-2375</u> in the amount of \$ <u>1,386.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to my Deposit Account No. <u>06-2375</u>. A duplicate copy of this sheet is enclosed.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="width: 60%;"> <p>SEND ALL CORRESPONDENCE TO</p> <p><b>Fulbright &amp; Jaworski LLP</b>  <b>Intellectual Property &amp;</b>  <b>Technology Section</b>  <b>1301 McKinney, Suite 5100</b>  <b>Houston, Texas 77010</b></p> <p>July 27, 2001</p> </div> <div style="width: 35%; text-align: center;">         THOMAS D. PAUL  <hr/>       NAME        REGISTRATION NUMBER <u>32.714</u> </div> </div>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total claims	42 - 20 =	22	x 18.00	\$ 396.00	Independent claims	2 - 3 =	-0-	x -0-	\$ -0-	MULTIPLE DEPENDENT CLAIM(s) (if applicable)			x -0-	\$ -0-	<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1,386.00	<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. <b>SUBTOTAL =</b>				\$ 1,386.00	Processing fee of \$ _____ for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). +				\$	<b>TOTAL NATIONAL FEE =</b>				\$ 1,386.00	Fee for recording the enclosed assignment (37 CFR 1.21 (h)). 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